

Experimental determination of multiple ionization cross sections in Si by electron impact

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The thin sample method is often used to experimentally determine ionization cross sections, especially when focusing on the low overvoltage region. The simplicity of the formalism involved in this method is very appealing, but some experimental complications arise in the preparation of thin films. In this work, a thick sample method was used to measure the Si- K x-ray production cross section by electron impact. The good agreement between the results obtained and the values reported in the literature validates the method and the parameters used. The advantages and disadvantages of the method are discussed and its application is extended to the determination of Si multiple-ionization cross sections, where the very low emission rates (around two orders of magnitude lower than the single-ionization case) make the use of the thin sample method impracticable.

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I. INTRODUCTION

The experimental determination of inner-shell ionization cross sections is a matter of continuous interest in atomic physics [1–8]. As detector performances and speeds are improved, more depurated methodologies can be used to check the increasingly sophisticated and realistic theoretical models used to predict them [1,5,9]. The accurate determination of cross sections is of practical application in the field of analytical techniques like electron probe microanalysis, and it is also necessary for the simulation of the electron transport in the scope of materials science and medical physics [10]. Thus, finding a new procedure that may improve or complement the existing ones is of high interest. On the other hand, the measurement of cross sections for low probability phenomena, for example multiple-ionization processes, has deserved the attention and effort of several researchers [11–15], in view of their application to the description of the x-ray emission spectrum. Particularly, the production of satellite lines (arising by either molecular transitions or multiple ionizations) is important in analytical techniques based on x-ray emission: their correct prediction and measurement can be applied to compound speciation and to the validation of molecular orbital theories [16–19].

Traditionally, inner-shell ionization cross sections are measured using thin samples [9,20,21], particularly for high overvoltages. In this situation, the thin sample approximation can be assumed, i.e., the incident electron interacts on average at most once. Thus, the number of characteristic photons $N_x(E_o)$ detected when the film is irradiated with electrons of energy E_o can be written as

$$N_x(E_o) = \frac{\Delta\Omega}{4\pi} \epsilon n_e(E_o) \frac{N_A}{A} \rho t \sigma_x(E_o) f, \quad (1)$$

where $\Delta\Omega$ is the solid angle subtended by the detector window from the electron impact point, ϵ is the detector intrinsic efficiency at the energy of the detected photon, $n_e(E_o)$ is the number of incident electrons, N_A the Avogadro's number, A is the atomic weight of the analyzed element, t is the sample

thickness and ρ its mass density, f represents the relative transition probability corresponding to the measured line, and $\sigma_x(E_o)$ stands for the x-ray production cross section. It must be noticed that Eq. (1) is only valid for decays to the K shell; for decays to more external shells the situation is more complex because of the subsequent reaccommodation of electrons among the different subshells. This expression is mathematically very simple but the experimental requirements that must be imposed so that the thin-film approximation is fulfilled may be too stringent. To satisfy this approximation, the film must be very thin (~ 10 nm in the case of Si and other elements with similar atomic number), even when high overvoltages and light elements are under consideration. The preparation of a film of nanometric thickness may become a technological challenge more or less complicated depending on the material; particularly because its thickness must be known with a high degree of precision to lead to precise determinations of ionization cross sections. Another requirement is that the mass density must be homogeneous. These conditions are very difficult to achieve, and special techniques must be used for sample preparation (like magnetron sputtering) and thickness measurement (like Rutherford backscattering spectroscopy). In addition, the film samples are usually deposited on a substrate that can emit characteristic x rays or bremsstrahlung photons that may excite the film and/or be recorded by the detector, interfering with the analysis. All these issues, along with the low emission rates produced in a thin sample, entail undesired uncertainties that are propagated to the cross-section final result.

On the other hand, multiple ionizations by electron impact are very low probability processes in comparison with simple ionization events. Multiple-ionization satellite lines are caused by light variations in the atomic levels induced by perturbations in the electronic distribution of the atom when it is ionized more than once, e.g., in the case of $K\alpha$ lines, a vacancy in the K shell and another one in the L shell (called spectator hole [22,23]). The different possible decays for a given initial vacancy combined with the several possibilities for the location of the spectator hole originate a complex spectral structure. Double-ionization processes by electron bombardment mainly originate in the ejection of two electrons without any internal rearrangement of bound electrons. These

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93 events can be classified as shake processes, two-step-one
 94 (TS1), and two-step-two (TS2) mechanisms [14]. The shake
 95 process is a one-step mechanism that results from a sudden
 96 change in the atomic potential due to the electron ejection.
 97 The TS1 process can be pictured as if the electron released
 98 after the first ionization ejected in turn another bound electron,
 99 leaving the atom in a double vacancy state. In the TS2 process
 100 the incoming projectile is assumed to interact sequentially
 101 with two electrons from the same target atom; thus, TS2
 102 processes are not allowed for incoming photons, which in
 103 the energy range of interest are supposed to be absorbed after
 104 the interaction.

105 Bearing in mind the low probability of a multiple-ionization
 106 event, the determination of the corresponding cross sections
 107 using thin samples would produce excessively high uncertain-
 108 ties and this method would be impracticable. One plausible
 109 alternative is the use of a bulk sample, as proposed in Ref. [3].
 110 The thick sample method presents some practical advantages:
 111 the sample is easy to prepare, no measurements are required for
 112 the sample thickness, photons are registered at a much higher
 113 count rate because of the larger interaction volume involved,
 114 and there are no substrate contributions.

115 The main disadvantage of this method is the complexity
 116 of the equation that relates $N_x(E_o)$ with the ionization
 117 cross section. In addition, two assumptions are made in this
 118 formalism: the electrons are considered to travel following
 119 straight trajectories and emissions due to secondary particles
 120 are neglected. Within this degree of approximation, $N_x(E_o)$
 121 can be written as

$$\begin{aligned}
 N_x(E_o) &= \frac{N_A}{A} \frac{\Delta\Omega}{4\pi} \epsilon n_e(E_o) f \int_0^R \sigma_x(E(\rho x)) \\
 &\times \exp\left[-\mu_x \frac{\cos\alpha}{\cos\beta} \int_0^{\rho x} d(\rho x')\right] d(\rho x), \quad (2)
 \end{aligned}$$

122 where R is the range for the electrons with energy enough
 123 to ionize the level of interest, μ_x is the mass attenuation
 124 coefficient for the characteristic x rays inside the target, and α
 125 and β are, respectively, the incident and exit angles measured
 126 from the sample normal. It is useful to write (5) as a function
 127 of the electron stopping power $S(E)$, which is a well-known
 128 and tabulated magnitude [24]

$$S(E) = -\frac{1}{\rho} \frac{dE}{dx} \quad (3)$$

129 which can be conveniently replaced in (2), yielding

$$\begin{aligned}
 \frac{N_x(E_o)}{n_e(E_o)} &= \frac{N_A}{A} \frac{\Delta\Omega}{4\pi} \epsilon f \int_I^{E_o} \frac{dE}{S(E)} \sigma_x(E) \\
 &\times \exp\left[-\mu_x \frac{\cos\alpha}{\cos\beta} \int_E^{E_o} \frac{dE'}{S(E')}\right], \quad (4)
 \end{aligned}$$

130 where I is the ionization threshold energy. Equation (4) can be
 131 differentiated with respect to the incident electron energy E_o
 132 using the Leibniz's rule for differentiation, since the variable
 133 involved is present in the integration limits and in the integrand.

The solution for $\sigma_x(E_o)$ results in

$$\begin{aligned}
 \sigma_x(E_o) &= \frac{A}{N_A} \frac{4\pi}{\Delta\Omega\epsilon f} \left[S(E_o) \frac{d}{dE_o} \left(\frac{N_x(E_o)}{n_e(E_o)} \right) \right. \\
 &\left. + \frac{N_x(E_o)}{n_e(E_o)} \mu_x \frac{\cos\alpha}{\cos\beta} \right]. \quad (5)
 \end{aligned}$$

135 With this expression the cross section $\sigma_x(E_o)$ can be obtained
 136 from the measurement of $N_x(E_o)/n_e(E_o)$ at several incident
 137 energies E_o . The downside of this method is that it involves
 138 the differentiation of experimental points $N_x(E_o)$, with their
 139 associated uncertainties. One way to deal with this difficulty
 140 is to use the Tikhonov regularization algorithm [3,25]. Never-
 141 theless, in this work, the uncertainties on the photon counting
 142 were considerably reduced so that the analytic differentiation
 143 of the fitting curve to $N_x(E_o)/n_e(E_o)$ was sufficiently stable.
 144 The number of photons measured at the different E_o values,
 145 showed a smooth behavior, which justifies the omission of
 146 Tikhonov regularization.

147 It is useful to write Eq. (5) in terms of the number of photons
 148 emitted by the sample P_x , namely

$$\sigma_x(E_o) = \frac{A}{N_A} \frac{1}{f} \left[S(E_o) \frac{d}{dE_o} \left(\frac{P_x(E_o)}{n_e(E_o)} \right) + \frac{P_x(E_o)}{n_e(E_o)} \mu_x \frac{\cos(\alpha)}{\cos(\beta)} \right], \quad (6)$$

where P_x can be expressed as

$$P_x = \frac{4\pi}{\Delta\Omega\epsilon} N_x(E_o). \quad (7)$$

150 These formulas are especially useful when the measurements
 151 are performed using a wavelength dispersive spectrometer.

152 In the present research, the thick-sample method was first
 153 used to measure the K single-ionization cross section of
 154 silicon. This approach was validated by the comparison of the
 155 results obtained with the values available in the bibliography.
 156 Then, the procedure was extended to the determination of
 157 double- and triple-ionization cross sections in Si (ionizations
 158 involving simultaneously K and L shell) where the thin-
 159 sample method is impracticable.

160 II. EXPERIMENT

161 Spectra were obtained by electron impact on a pure Si bulk
 162 standard using an electron microprobe model JEOL 8230. This
 163 microprobe involves an energy dispersive spectrometer (EDS)
 164 and three wavelength dispersive spectrometer (WDS). The use
 165 of a WDS was essential to measure the lines produced by
 166 multiple-ionization processes because of the good resolution
 167 of this kind of spectrometer. For the present measurements, a
 168 pentaerythritol (PET) crystal was used ($2d = 8.742 \text{ \AA}$), placed
 169 in a Rowland circle with a radius of 140 mm (Johannson
 170 geometry), with a Xe sealed proportional counter attached.
 171 The "step" between two successive crystal positions chosen
 172 was $30 \mu\text{m}$, according to the criteria explained in Appendix
 173 A. Several spectra with different E_o were measured, and
 174 the crystal position varied from 223.400 to 230.330 mm,
 175 covering energies between 1.724 57 and 1.778 14 keV. A
 176 normal incident setup was used ($\alpha = 0^\circ$), with an exit angle
 177 $\beta = 50^\circ$.

TABLE I. Total cumulative time per channel.

E_o (keV)	Time (s/channel)	Total channels	E_o (keV)	Time (s/channel)	Total channels
2.1	320	146	3.5	90	232
2.2 ^a	360	146	4.0	90	232
2.3 ^b	360	146	5.0	90	232
2.4	300	146	6.0	75	232
2.6	300	146	8.0	48	232
2.8	90	232	15.0	36	232
3.0	90	232	20.0	36	232

^aThe total time at the $K\alpha L^1$ zone was 510 s/channel.

^bThe total time at the $K\alpha L^1$ zone was 402 s/channel.

178 In order to minimize the uncertainties associated with the
179 count number, the collecting time of some measurements
180 was made as large as possible. In fact, the spectra taken at
181 overvoltages very close to 1 were measured during ~ 12 h (see
182 Table I). For this reason, the spectra were taken by scanning
183 cumulatively different points of the sample to avoid sample
184 damage, i.e., the sample was irradiated over a point grid and the
185 measuring time of each point was kept below 15 min. Longer
186 exposure times caused significant variations (more than 5%)
187 in the specimen current. These variations are due to neither
188 beam instabilities nor statistical fluctuations in the detection
189 process, but this effect may occur by sample charging and/or a
190 modification of the physical properties of the sample induced
191 by the electron beam. The total cumulative time per channel is
192 $t_d \times (x \times y)$, where t_d is the dwell time and $(x \times y)$ is the grid
193 size. For example, the 8-keV spectrum was measured 16 times
194 (a 4×4 grid) with a dwell time of 3 s/channel, thus the total
195 cumulative time per channel was 48 s/channel.

196 The probe current was measured immediately before and
197 after each point measurement and its stability during the
198 measurement was checked by recording the specimen current.

199 Some spectral regions required longer measuring times
200 than others, depending on the number of counts registered;
201 for example, the energy range covering the double-ionization
202 zone required more time than the simple ionization zone. In
203 order to reduce uncertainties in these specific ranges, they
204 were measured separately. To obtain the resultant spectrum
205 $I_{\text{sum}}(E)$, a weighted average was performed giving priority
206 to the spectra with larger measuring times. Thus if $I_a(E)$
207 and $I_b(E)$ are two spectra with different measuring times per
208 channel (and thereby different statistical uncertainties), the
209 resultant spectrum was calculated as

$$I_{\text{sum}}(E) = I_a(E) \frac{\sqrt{t_a}}{\sqrt{t_a} + \sqrt{t_b}} + I_b(E) \frac{\sqrt{t_b}}{\sqrt{t_a} + \sqrt{t_b}},$$

210 where t_a and t_b are the measuring times per channel of spectra
211 a and b , respectively. The square roots are introduced so that
212 the weights are the reciprocal of the corresponding statistical
213 uncertainties.

214 The maximum value of counts per second of the pro-
215 portional counter was kept below 10^4 counts/s, under the
216 recommendation of the equipment manufacturer, with the
217 purpose of neglecting coincidence losses. Taking this into
218 account, and bearing in mind the dependence of the ionization

219 cross section on the electron energy in the low overvoltage
220 region, spectra corresponding to higher E_o values were
221 irradiated with probe currents smaller than those used for
222 low E_o spectra: the 20-keV spectrum was measured with a
223 30-nA current and the 15 keV spectrum, with a 40-nA current,
224 whereas the other spectra were measured with a 100-nA
225 current.

226 From Eq. (5), it is clear that in order to obtain an absolute
227 value of the ionization cross section, the detector efficiency
228 must be known precisely, because its uncertainties are directly
229 propagated to the cross section final result. The procedure
230 followed to measure the detector efficiency is detailed in
231 Appendix C. The final value used for the effective efficiency
232 in the energy ranges studied is $(2.5 \pm 0.1) \times 10^{-8}$ keV.

233 In a WDS measurement, high order x rays may distort the
234 spectra, causing errors in the determination of peak intensities,
235 especially in the case of weak transitions. In order to avoid
236 this problem, the electronics of the proportional counter was
237 modified, specifically, the zero and gain parameters of the pulse
238 high analyzer were set to accept a narrow window around the
239 photon energy in such a way that high-order x rays are not
240 counted.

241 III. RESULTS

242 An example of the Si spectra obtained is shown in Fig. 1 for
243 $E_o = 8$ keV, where different groups of lines are clearly visible.
244 The most intense peaks, corresponding to the single-ionization
245 lines $K\alpha_1$ and $K\alpha_2$, appear at the low-energy region. They are
246 usually denoted as belonging to the $K\alpha L^0$ group, i.e., $K\alpha$
247 lines with no spectator vacancies in the L shell. On the other
248 hand, at the high-energy side, the $K\alpha L^1$ and $K\alpha L^2$ groups can
249 be seen, which are respectively caused by double ionization
250 (one spectator hole in the L shell) and triple ionization (two
251 spectator holes in the L -shell). According to Cauchois *et al.*
252 [26], the double-ionization lines $K\alpha'$, $K\alpha_3$, and $K\alpha_4$ are

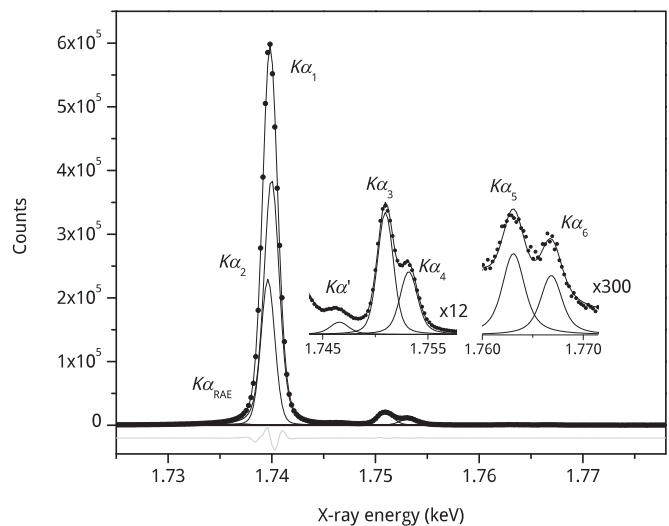


FIG. 1. Si spectrum obtained for 8-keV electron impact. Dots: experimental data; black solid line: spectral fitting; grey solid lines: contributions of each peak. The insets show double- and triple-ionization satellite zoomed regions, keeping the abscissa scale unaltered.

253 located at 7.79, 11.3, and 13.2 eV, respectively, relative to
 254 the main peak $K\alpha_{1,2}$, and the triple ionization $K\alpha_5$ and $K\alpha_6$
 255 are shifted in 23.8 and 26.3 eV, respectively. These values
 256 are in good agreement with the peaks measured at the higher
 257 energy side of the main peak, displaced from it 6.7 ± 0.2 ,
 258 11.10 ± 0.05 , 13.32 ± 0.04 , 23.2 ± 0.4 , and 26.9 ± 0.5 eV.
 259 The four latter values can be unequivocally identified with
 260 $K\alpha_3$, $K\alpha_4$, $K\alpha_5$, and $K\alpha_6$, respectively; in the case of $K\alpha'$,
 261 there is a difference between the results obtained in this
 262 work and [26], although in a more recent investigation based
 263 on proton excitation [23], a $K\alpha'$ shift of 6.57 ± 0.03 was
 264 found, which is in agreement with our outcome. In addition, a
 265 structure probably caused by a KLM radiative Auger emission
 266 (RAE) was also identified in the $K\alpha L^0$ group (see Fig. 1) [2].

267 Spectra were fitted using a parameter optimization code
 268 [27] which minimizes the quadratic differences between the
 269 experimental data and an analytical model for the spectrum.
 270 This model takes into account several physical phenomena
 271 such as bremsstrahlung, characteristic peak generation, pho-
 272 ton attenuation in the sample, instrumental broadening and
 273 efficiency, etc. In view of the closeness between $K\alpha_1$ and $K\alpha_2$
 274 lines, they were specially treated, because the spectrometer
 275 cannot resolve them completely. Thus, some parameters like
 276 natural linewidth, energy, and transition probability are very
 277 correlated. To avoid this obstacle, a strategy based on keeping a
 278 constant ratio between $K\alpha_1$ and $K\alpha_2$ intensities was followed
 279 [2,19]. A Voigt profile [28] was used for every line except
 280 for the RAE structure, for which a convolution between the
 281 expression given by Enkisch [29] and a Gaussian profile was
 282 used.

283 The number of emitted photons P_x in Eq. (6) was
 284 obtained from the deconvoluted peak area N'_x , as explained in
 285 Appendixes B and C. The values for electron stopping power
 286 and mass attenuation coefficients were taken from the ESTAR
 287 and XCOM platforms [30,31].

288 A. Single-ionization x-ray production cross section

289 The results obtained for N'_x corresponding to single-
 290 ionization decays ($K\alpha_{1,2}$ doublet) at several incidence energies
 291 E_o are plotted in Fig. 2. It must be noted that the points
 292 describe a very smooth curve due to the low statistical error
 293 obtained by carrying out long time measurements as mentioned
 294 in Sec. II. This fact leads to reliable results without the need
 295 of regularization methods.

296 In order to perform the differentiation involved in Eq. (6),
 297 an analytical expression for $N'_x(E_o)$ was fitted:

$$N'_x(E_o) = e^{[c_1(E_o - c_2)^{-c_3}]}(c_4 E_o^{-c_5} - c_6), \quad (8)$$

298 where the c_i 's are parameters obtained from least-squares
 299 fitting. This function along with its derivative was used in
 300 Eq. (6) to obtain the x-ray production cross section shown in
 301 Fig 3.

302 The uncertainty of the area $N'_x(E_o)$ was assessed by
 303 propagating the expression (B1), considering that the error
 304 in $I(E)$ is estimated as $\sqrt{I(E)}$. On the other hand, an upper
 305 bound for the uncertainty in the derivative involved in Eq. (6)
 306 was estimated by calculating the derivative as an incremental
 307 ratio between two consecutive experimental values of $N'_x(E_o)$
 308 and propagating the involved uncertainties. The probe current

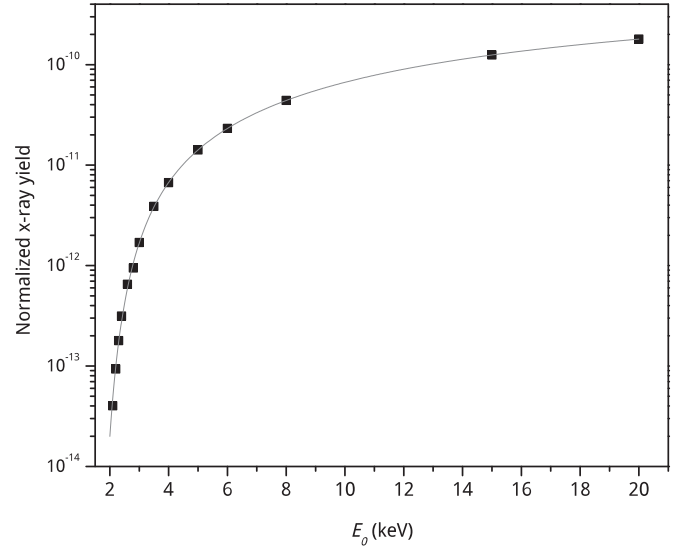


FIG. 2. Peak area N'_x for the main peak $K\alpha L^0$ (single ionization)
 as a function of the incident energy E_o . Dots: experimental data; solid
 line: analytical fit. The error bars are smaller than the point size.

309 variation immediately before and after each spectrum acquisition
 310 was below 0.05% in all measurements; this variation
 311 was considered as the uncertainty in the number of incident
 312 electrons $n_e(E_o)$. The error estimates for the stopping power
 313 values were close to 10% in the analyzed region [32]. In
 314 the case of the attenuation coefficient, the uncertainty was
 315 also around 10%, because it is very close to the absorption
 316 threshold [33]. For the relative transition probability f , its
 317 value was taken as 0.967 ± 0.005 , considering the results given
 318 in [2,34]. The uncertainties associated with the angles α and
 319 β were found to be negligible as compared to the other values.
 320 Finally, the uncertainties of the x-ray production cross section
 321 values were obtained by propagating errors in Eq. (6).

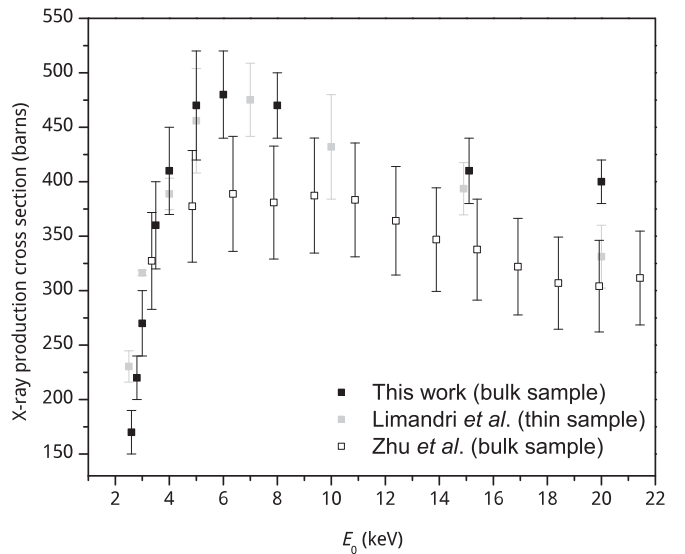


FIG. 3. Silicon K -shell x-ray production cross section for single
 ionization. Black dots: present results; white dots: Zhu *et al.* [35];
 gray dots: Limandri *et al.* [1]

Figure 3 displays the results obtained for K -shell single ionization, along with data obtained by means of the bulk sample method [35] and through measurements performed irradiating a thin target [1]. It can be seen that there is a good agreement between the x-ray production cross section values obtained here and the other experimental results, particularly with those from Ref. [1]. The differences between the present data and those from [35] could be due to the fact that their results were obtained using an EDS instead of a WDS. The reasonable performance achieved validates the method followed in the present work, i.e., the spectrometer efficiency obtained, the peak integration carried out, the expression fitted for $N'_x(E_o)$, the spectral deconvolution and the expression (6) can all be used consistently.

The good results obtained for silicon single-ionization cross section allowed us to safely extend the thick sample procedure to the determination of multiple-ionization cross sections, where no experimental alternative is available.

B. X-ray production cross sections by multiple ionizations

The very low probabilities related to multiple-ionization events inhibit the use of thin samples to determine multiple-ionization cross sections. The thick sample approach is instead quite suitable for the present purpose. In order to determine the double-ionization x-ray production cross section $\sigma_x(K\alpha L^1)$, the $K\alpha L^1$ group was considered. The result obtained can be associated with the probability of producing one vacancy in the K shell and one in the L shell, no matter which specific L subshell is involved. Analogously, the $K\alpha L^2$ group was considered to obtain the x-ray production cross section $\sigma_x(K\alpha L^2)$ for triple ionization, i.e., the probability of producing one vacancy in the K shell and two (any) spectator holes in the L shell, normalized by the number of atoms per unit area. The peak areas $N'_x(K\alpha L^1)$ and $N'_x(K\alpha L^2)$ obtained for the $K\alpha L^1$ and $K\alpha L^2$ groups at several incident energies are plotted in Fig. 4.

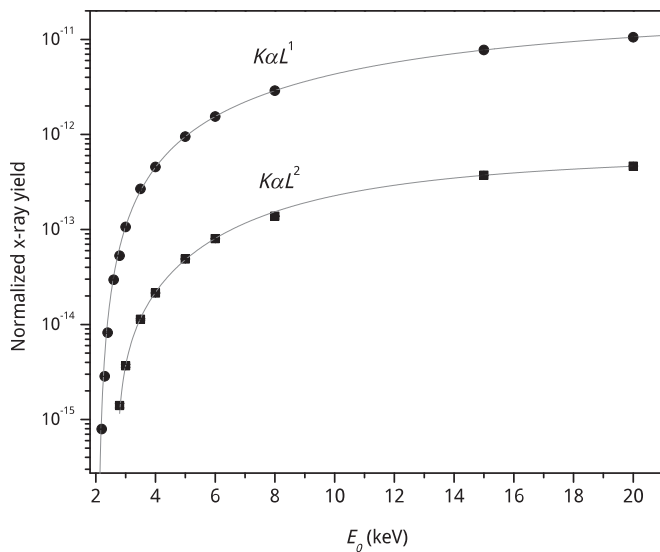


FIG. 4. Peak area N'_x as a function of the incident energy E_o . Solid circles: $K\alpha L^1$ group (double ionization); solid squares: $K\alpha L^2$ (triple ionization). The error bars are smaller than the point size.

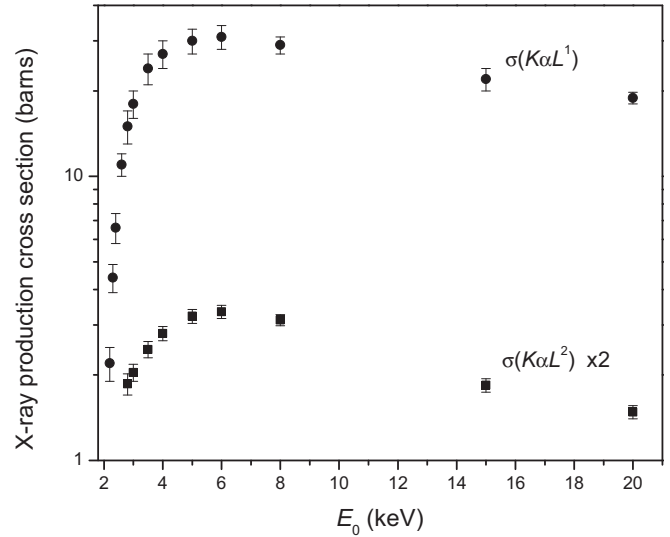


FIG. 5. Silicon x-ray production cross section for K lines with one L spectator hole (solid circles) and with two L spectator holes (solid squares) as a function of the incident energy E_o .

These data were also fitted using the functional behavior given in Eq. (8), and the resulting function was replaced in Eq. (6) along with its derivative. The values obtained for the multiple-ionization x-ray production cross sections are shown in Fig. 5 and detailed in Table II. These values were not compared to other results because the only quantitative information for multiple-ionization cross sections by electron impact available in the literature is restricted to satellite peak intensities relative to a reference value, for example the main peak intensity, and they are not corrected by self-absorption or electron energy loss, like the data published here.

The trend followed by $\sigma_x(K\alpha L^0)$, $\sigma_x(K\alpha L^1)$, and $\sigma_x(K\alpha L^2)$ are very similar. In all cases, the maximum probability of ionization (single or multiple) is reached at energies between 6 and 7 keV. Remarkably, $\sigma_x(K\alpha L^2)$ shows

TABLE II. Silicon x-ray production cross sections for single, double and triple ionization obtained by means of the thick sample method.

E_o (keV)	$\sigma_x(K\alpha L^0)$ (barns)	$\sigma_x(K\alpha L^1)$ (barns)	$\sigma_x(K\alpha L^2)$ (barns)
2.1	36 ± 4		
2.2	58 ± 6	2.2 ± 0.3	
2.3	83 ± 9	4.4 ± 0.5	
2.4	110 ± 10	6.6 ± 0.8	
2.6	170 ± 20	11 ± 1	
2.8	220 ± 20	15 ± 2	0.93 ± 0.08
3.0	270 ± 30	18 ± 2	1.02 ± 0.07
3.5	360 ± 40	24 ± 3	1.23 ± 0.08
4.0	410 ± 40	27 ± 3	1.40 ± 0.08
5.0	470 ± 50	30 ± 3	1.61 ± 0.09
6.0	480 ± 40	31 ± 3	1.67 ± 0.09
8.0	470 ± 30	29 ± 2	1.56 ± 0.07
15.0	410 ± 30	22 ± 2	0.92 ± 0.05
20.0	400 ± 20	18.9 ± 0.9	0.74 ± 0.04

372 a pronounced decrease from the maximum at 6 keV, above
373 20 keV bearing values smaller than for low energies.

374 As mentioned in the Introduction, TS2 processes are not
375 allowed for incoming photons. Measurements using photon
376 beams may allow us to establish a relationship between the
377 total KL double-ionization cross section and the particular
378 contribution due to TS2 processes. According to Mauron
379 *et al.* [14] this ratio is proportional to Z , which, using the
380 results obtained here for silicon, would correspond to a TS2
381 contribution between 35% and 40%. Further experiments
382 would be necessary to corroborate this issue.

383 The bulk sample approach involved in this work allows
384 us to accurately assign the electron energy for which the
385 cross sections are assessed, avoiding the need of defining
386 effective energies, as in [14]. Despite this advantage, it was
387 not possible to observe the oscillations reported by Mauron
388 *et al.* in $K\alpha_3$ and $K\alpha_4$ yields; instead, a monotonic increase
389 with the incident energy was observed for them.

390 IV. CONCLUSION

391 The absolute K -shell x-ray production cross section was
392 experimentally determined for Si, in the energy range 2.1–
393 20 keV, following a bulk sample method. The reliability of
394 the whole procedure was evidenced by the good agreement
395 between the results obtained here for single ionization and the
396 ones available in the literature. This agreement demonstrates
397 that the cross section values were produced through Eq. (6)
398 using a consistent procedure, by combining the detector
399 efficiency curve obtained, the long time measurements carried
400 out to reduce uncertainties, the proper deconvolution of
401 peak intensities and the analytical curves fitted to them.
402 Regularization methods were not necessary in the special
403 case studied here (silicon at overvoltages between 1 and 10),
404 because the uncertainties were minimized.

405 It can be stated that the thin and thick sample methods
406 are complementary: for high overvoltages, the former approx-
407 imation is more reliable than the electron straight trajectories
408 assumed in the latter; on the other hand, the thick sample
409 method is more suitable for low overvoltages, where the
410 thin-film hypothesis is not easily satisfied and the inherent
411 low statistics hampers the experimental determinations.

412 The thick sample method was extended to the determination
413 of double- and triple-ionization cross sections. It must be
414 emphasized that these cross sections cannot be determined
415 by using a thin sample, due to the low probabilities involved.

416 The procedure described here may be applied to similar
417 situations where very low probability events are involved.
418 This is, for instance, the case of the determination of x-ray
419 production cross sections associated with hypersatellite lines.

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424 were measured.

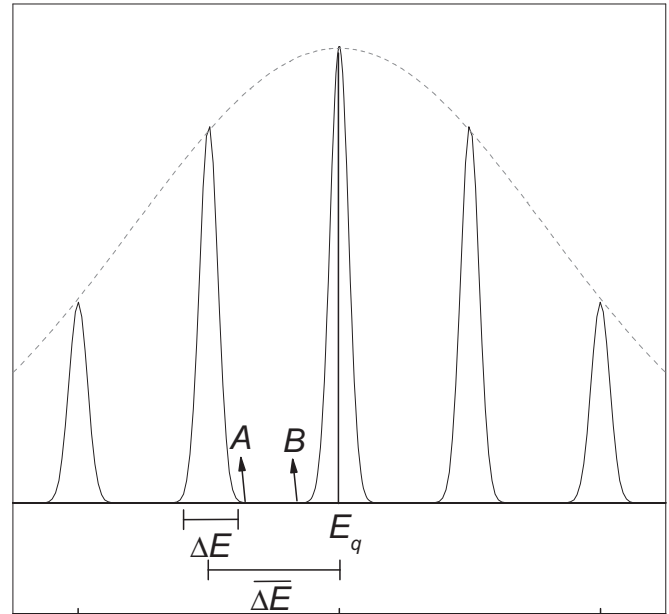


FIG. 6. Scheme depicting the ΔE and $\overline{\Delta E}$ parameters.

APPENDIX A: CHOOSING THE STEP LENGTH $\overline{\Delta E}$

425 Let $I(E)$ be the equipment output that gives the number
426 of photons detected between E and $E + \Delta E$, where ΔE is
427 related to the spectrometer resolution, and let $\overline{\Delta E}$ be the energy
428 difference between two successive positions of the analyzing
429 crystal (see Fig. 6). In an energy dispersive spectrometer (EDS)
430 $\overline{\Delta E}$ is equal to ΔE , thus the intensity yield of a peak can
431 be calculated just by adding the intensities of all channels
432 involved in the peak. In the case of a WDS, the intervals are
433 different, so that the total intensity of a measured peak cannot
434 be obtained in the same way. If $\Delta E \geq \overline{\Delta E}$, count overlap
435 would distort the characteristic intensity recorded. On the other
436 hand, if $\Delta E \leq \overline{\Delta E}$ there would be photons not taken into
437 account (between points A and B in Fig. 6, for example). The
438 latter condition is anyway preferred, and care must be taken in
439 order to properly count the photons entering the detector.

440 Several 8-keV Si- $K\alpha$ spectra were measured with different
441 step lengths $\overline{\Delta E}$ of the analyzing crystal, from 15 to 90 μm , to
442 check if the condition $\Delta E \leq \overline{\Delta E}$ is satisfied. All the obtained
443 spectra follow approximately the same curve, as can be seen
444 in Fig. 7, which demonstrates that even with the 15- μm step
445 length, the measurement is in a nonoverlapping regime. A
446 compromise configuration for reasonable measuring time and
447 good statistics was found for $\overline{\Delta E} = 30 \mu\text{m}$.
448

APPENDIX B: PEAK INTEGRATION

449 As mentioned in the previous Appendix, special care has to
450 be taken when obtaining the photon yield of a peak measured
451 with a WDS because its channels are not immediately adjacent.
452 In the case of an EDS measurement the peak intensity yield is
453 calculated by adding the intensities of the channels involved
454 in the peak. However in the case of a WDS measurement, this
455 sum varies with the particular selection of the $\overline{\Delta E}$ value chosen
456 for the measurement, i.e., the intensity yield of a peak cannot
457

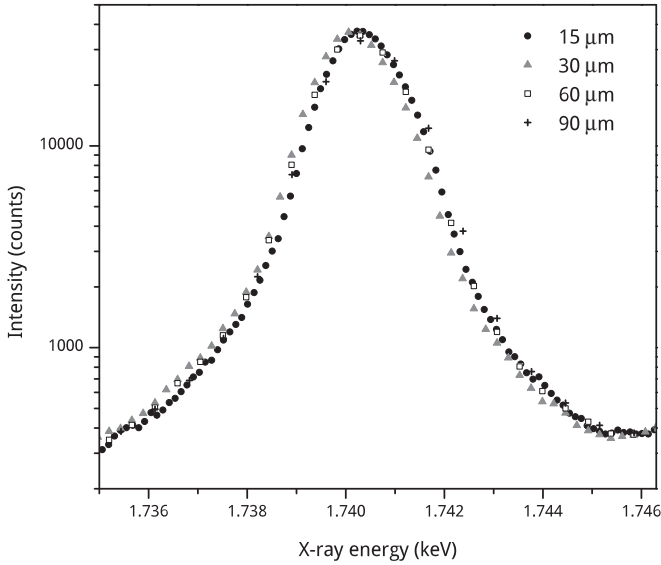


FIG. 7. Silicon $K\alpha_{1,2}$ spectra obtained with 8-keV electrons and different step lengths for the PET analyzing crystal.

efficiency itself, etc. Thus, the derivation of an analytical expression based on these parameters is not practical for the objectives of this work. On the other hand, a WDS effective efficiency curve that takes into account all these factors can be determined experimentally.

In this work, the effective efficiency ε was defined as the ratio between the peak area N'_x (see Appendix B) normalized by the number of incident electrons n_e , and the number of characteristic photons P_q emitted by the sample, namely

$$\varepsilon(E_q) = \frac{N'_x/n_e}{P_q}. \quad (\text{C1})$$

It has to be noted that the efficiency defined in this way has energy units, because the peak area N'_x has energy units.

According to Eq. (C1), P_q has to be known to obtain $\varepsilon(E_q)$. This can be achieved by using an EDS spectrometer whose efficiency has been previously well established. To this aim, the following relationship between P_q and the number of characteristic photons $I_{\text{EDS}}(E_i)$ measured by the EDS at energy E_i can be written

$$P_q = \sum_i \frac{I_{\text{EDS}}(E_i)}{\frac{\Delta\Omega}{4\pi} \varepsilon_{\text{int}}(E_i) n}, \quad (\text{C2})$$

where $\Delta\Omega/4\pi$ is the solid angle subtended by the detector window from the impact point of the electrons on the sample, $\varepsilon_{\text{int}}(E_i)$ is the intrinsic efficiency at energy E_i , n is the number of incident electrons for the EDS measurement, and the sum includes all the channels i within the peak. Thus

$$\varepsilon(E_q) = \frac{N'_x}{n_e} \left(\sum_i \frac{I_{\text{EDS}}(E_i)}{\frac{\Delta\Omega}{4\pi} \varepsilon_{\text{int}}(E_i) n} \right)^{-1}. \quad (\text{C3})$$

The procedure followed to determine the solid angle and the intrinsic efficiency of the EDS was explained elsewhere [1]. The solid angle obtained was $(1.15 \pm 0.05) \times 10^{-4}$ sr, whereas ε_{int} was evaluated at 1.74 keV, resulting in 0.707 ± 0.007 .

In order to find $\varepsilon(E_{\text{Si-K}\alpha})$, a $K\alpha$ spectrum of Si was measured using 8-keV electrons and both EDS and WDS detectors. Using Eq. (C3), the efficiency in the $K\alpha$ region of the PET-WDS was determined to be $(2.5 \pm 0.1) \times 10^{-8}$ keV. With this value and N'_x as explained in Appendix B, the net number of photons emitted by the sample P_x involved in Eq. (6) can be obtained from

$$P_x = \frac{N'_x}{\varepsilon(E_{\text{Si-K}\alpha})}.$$

be obtained by a simple sum of the intensities registered in the corresponding channels.

On the other hand, the area of a peak located at the energy E_q corresponding to the q peak does not depend on the particular election of ΔE , as it can be seen from Fig. 7. Then, the peak area N'_x can be defined as the area under the curve followed by the experimental points:

$$N'_x = \int_{E_q-w}^{E_q+w} I(E) dE, \quad (\text{B1})$$

where w is an energy such that the interval between $E_q - w$ and $E_q + w$ covers all the photons emitted by the transition considered. It is clear that N'_x has units of energy and is proportional to the total number of characteristic photons P_q emitted by the target.

The data shown in Figs. 2 and 4 were calculated using the expression (B1), $I(E)$ being the intensity of the deconvoluted peaks and $w = 10$ eV.

APPENDIX C: EFFECTIVE EFFICIENCY

The WDS efficiency depends on parameters that are too complicated to be determined individually; for instance, the analyzing crystal reflectivity, the transmittance of the proportional counter sealant window, the proportional counter

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